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APR 05 2006

PTO-1390 (Rev. 12-2004)

Approved for use through 03/31/2007. OMB 0851-0021

U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 402492000200
INTERNATIONAL APPLICATION NO. PCT/GB2003/003571	INTERNATIONAL FILING DATE 14 August 2003	U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/624,347
TITLE OF INVENTION FRAGMENTS OF PROINSULIN C-PEPTIDE		PRIORITY DATE CLAIMED 14 August 2002
APPLICANT(S) FOR DO/EO/US WAHREN, John and JORNVALL, Hans		

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- ☐ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
- ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
- ☐ This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.
- ☐ The US has been elected (Article 31).
- ☐ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
 - ☐ is attached hereto (required only if not communicated by the International Bureau).
 - ☐ has been communicated by the International Bureau.
 - ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
- ☐ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
 - ☐ is attached hereto.
 - ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
- ☐ Amendments to the claims of the International Application under PCT Article 18 (35 U.S.C. 371 (c)(3))
 - ☐ are attached hereto (required only if not communicated by the International Bureau).
 - ☐ have been communicated by the International Bureau.
 - ☐ have not been made; however, the time limit for making such amendments has **NOT** expired.
 - ☐ have not been made and will not be made.
- ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
- ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). **SIGNED** (1 page)
- ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 11 to 20 below concern document(s) or information included:

- ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98. - 3 pgs
- ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- ☐ A preliminary amendment
- ☐ An Application Data Sheet under 37 CFR 1.76.
- ☐ A substitute specification.
- ☐ A power of attorney and/or change of address letter.
- ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
- ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
- ☐ A second copy of the English language translation of the International application under 35 U.S.C. 154(d)(4).
- ☒ Other items or information: 1) **COPY of Notice of Missing Requirements (2 pages); Fax cover sheet (1 pg)**

page 1 of 2

sf-2108093

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PTO-1390 (Rev. 12-2004)

U.S. APPLICATION NO. (if known, see 37 CFR 1.6) 10/524,347		INTERNATIONAL APPLICATION NO. PCT/GB2003/003571		ATTORNEY'S DOCKET NUMBER Docket: 402492000200	
21. The following fees are submitted:					
<input checked="" type="checkbox"/> a) Basic national fee		\$300.00		\$	-0-
<input checked="" type="checkbox"/> b) Examination fee		\$200.00		\$	-0-
<input checked="" type="checkbox"/> c) Search fee (ISR provided = MINUS \$100)		\$500.00		\$	-0-
TOTAL OF ABOVE CALCULATIONS =		\$1000.00		\$	-0-
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
N/A - 100 =	0	0	\$250.00		-0-
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).				\$	130.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	25 - 20 =	5	\$50.00	\$	-0-
Independent claims	4 - 3 =	1	\$200.00	\$	-0-
MULTIPLE DEPENDENT CLAIM(e) (if applicable)			\$380.00	\$	-0-
TOTAL OF ABOVE CALCULATIONS =				\$	130.00
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	65.00
SUBTOTAL =				\$	65.00
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).				\$	-0-
TOTAL NATIONAL FEE =				\$	65.00
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property.				\$	-0-
TOTAL FEES ENCLOSED =				\$	-0-
Amount to be refunded:				\$	-0-
Amount to be charged:				\$	-0-
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.					
b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>03-1952</u> in the amount of \$ <u>65.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.					
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>03-1952</u> . A duplicate copy of this sheet is enclosed.					
d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.485 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the International Application to pending status.					
SEND ALL CORRESPONDENCE TO: Michael R. Ward MORRISON & FOERSTER LLP					
CUSTOMER NUMBER: 20972				SIGNATURE: <u>Michael R. Ward</u> Date: April 5, 2006 Registration Number 38,651	
I hereby certify that this correspondence is being facsimile transmitted to: Commissioner for Patents, MS. MISSING PARTS, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.					
Dated: April 5, 2006		Signature: <u>(Signature)</u> (LILIA OLSEN)			

FORM PTO-1390 (REV 12-2004)
af-2108093

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UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1418
Alexandria, Virginia 22113-1418
www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/524,347	FIRST NAMED APPLICANT John Warren	ATTY. DOCKET NO. 402492000200
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20872
MORRISON & FOERSTER LLP
425 MARKET STREET
SAN FRANCISCO, CA 94105-2482

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FEB 10 2006

MORRISON & FOERSTER

INTERNATIONAL APPLICATION NO. PCT/GB03/03571	
I.A. FILING DATE 08/14/2003	PRIORITY DATE 08/14/2002

CONFIRMATION NO. 4590

371 FORMALITIES LETTER

CG000000017835202

Date Mailed: 02/07/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 02/11/2005.
- Copy of the International Search Report filed on 02/11/2005
- Preliminary Amendments filed on 02/11/2005
- Information Disclosure Statements filed on 02/11/2005
- Oath or Declaration filed on 02/11/2005
- Request for Immediate Examination filed on 02/11/2005
- U.S. Basic National Fees filed on 02/11/2005
- Priority Documents filed on 02/11/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and International filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
• is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Missing Requirements Due:
DOCKETED *mejer*

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Total additional fees required for this application is \$65 for a Small Entity:

- \$65 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

KAREN M WILLIAMS

Telephone: (703) 308-9140 EXT 213

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/524,347	PCT/GB03/03571	402492000200

FORM PCT/DO/EO/805 (371 Formalities Notice)

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TO:	FACSIMILE:
Central Fax US Patent and Trademark Office	(571) 273-8300 /MISSING PARTS

FROM: MICHAEL R. WARD
 Reg. No. 38,651

DATE: April 5, 2006

Number of pages with cover page:	8	Preparer of this slip has confirmed that facsimile number given is correct: MRW1/8693/xx3

Comments:

Attorney Docket No: 402492000200

Re: U.S. Patent Application Serial No. 10/524,347
FOR: FRAGMENTS OF PROINSULIN C-PEPTIDE
By: John WAHREN and Hans JORNVALL
Your reference: 27.14.76888/03.hd
Our reference: 40249-2000200

DOCUMENTS ATTACHED:

- 1) Transmittal IN DUPL - 4 pages
- 2) COPY of Notice of Missing Requirements - 2 pages
- 3) DECLARATION (signed) - 1 page

To ensure compliance with requirements imposed by the United States Internal Revenue Service, Morrison & Foerster LLP informs you that, if any advice concerning one or more U.S. Federal tax issues is contained in this facsimile (including any attachments), such advice is not intended or written to be used, and cannot be used, for the purpose of (i) avoiding penalties under the Internal Revenue Code or (ii) promoting, marketing or recommending to another party any transaction or matter addressed herein.

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